

Product Release Note  
Oracle Banking Trade Finance Process Management  
Release 14.3.0.0.0  
May 2019





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May 2019  
Version 14.3.0.0.0

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## Table of Contents

|   |            |
|---|------------|
| <b>1. RELEASE NOTES .....</b>                             | <b>1-1</b> |
| 1.1 BACKGROUND .....                                      | 1-1        |
| 1.2 PURPOSE.....  | 1-1        |
| 1.3 ABBREVIATIONS .....                                   | 1-1        |
| 1.4 RELEASE HIGHLIGHTS .....                              | 1-2        |
| <b>2. COMPONENTS OF THE SOFTWARE .....</b>                | <b>2-3</b> |
| 2.1 DOCUMENTS ACCOMPANYING THE SOFTWARE .....             | 2-3        |
| 2.2 SOFTWARE COMPONENTS.....                              | 2-3        |
| <b>3. ANNEXURE – A: ENVIRONMENT DETAILS .....</b>         | <b>3-1</b> |
| <b>4. ANNEXURE – B: THIRD PARTY SOFTWARE DETAILS.....</b> | <b>4-1</b> |

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# 1. Release Notes

## 1.1 Background

Oracle Financial Services Software Limited has developed Oracle Banking Trade Finance Process Management 14.3.0.0.0 (OBTFFPM), which enable banks to streamline their trade finance operations. A robust workflow engine enables transparency of the process from initiation to fulfilment and brings in rich user experience and process efficiencies.

## 1.2 Purpose

The purpose of this Release Note is to propagate the enhancements in Oracle Banking Trade Finance Process Management 14.3.0.0.0.

## 1.3 Abbreviations

| Abbreviation | Description   |
|--------------|---|
| BPEL         | Business Process Execution Language                         |
| BPMN         | Business Process Model and Notation                         |
| KYC          | Know Your Customer  |
| SSO          | Single Sign On  |
| SWIFT        | Society for Worldwide Interbank Financial Telecommunication |
| UI           | User Interface  |
| XML          | eXtensible Markup Language                                  |
| LC           | Letter of Credit  |
| SFTP         | Simple File Transfer Protocol                               |
| REST         | REpresentational State Transfer                             |
| DB           | Database  |
| OBTFFPM      | Oracle Banking Trade Finance Process Management             |

## 1.4 Release Highlights

The rationale for the release of Oracle Banking Trade Finance Process Management version 14.3.0.0.0 is to roll out additional processes in the Trade finance life cycle to support banks' operational requirements, Customer Experience and regulatory requirement.

The following 11 processes are supported in this release in addition to the existing 4 processes:

1. Amendment of Import Letter of Credit
2. Beneficiary Confirmation of Amendment of Import Letter of Credit
3. Book Drawings under Import Letter of Credit
4. Update Drawings under Import Letter of Credit
5. Liquidate Drawings under Import Letter of Credit
6. Amendment of Export Letter of Credit Advised
7. Register Beneficiary Confirmation of Amendment of Export Letter of Credit
8. Book Drawings under Export Letter of Credit
9. Update Drawings under Export Letter of Credit
10. Liquidate Drawings under Export Letter of Credit
11. Book Bills under Import Collection

Following existing features support new processes also:

- Customer Email Notification
  - Acknowledgement for Import LC Amendment, Update Drawings under Import LC, Register Beneficiary Confirmation under Export LC Amendment, Book Drawings under Export LC
  - Notification of Preview Message of Import LC Amendment, Drawings, Update Drawings and Liquidate Drawings under Import LC and Export LC
  - Notification of Rejection of Request
- Limit and Collateral Verification if required
  - Automated Limit Checks and
  - Automated Collateral Checks
- Compliance Checks
  - Automated KYC Status Verification
  - Linkage to AML and Sanction Engine
- Exception Approval if required
  - KYC Exception Screens
  - Limit Exception Screens
  - Amount Block Exception Screens
- Charges Replication from Backend System
  - Replicates and populates the Charges maintained for the Products used from FLEXCUBE UBS
- Customer and other common Core items replication from Backend System
  - Replicates Customer, Branch and other common maintenances from FLEXCUBE UBS
- Draft Confirmation of Import LC Amendment
  - Customer can confirm the LC Amendment before transmission to Advising bank

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## 2. Components of the Software

### 2.1 Documents Accompanying the Software

The various documents accompanying the software are as follows:

- Product Release Note
- User Guides
- Installation Guide
- Licence guide
- Security guide
- API Documentation

### 2.2 Software Components

Software Components of Oracle Banking Trade Finance Process Management 14.3.0.0.0 that form part of this release are as follows:

#### **Host**

- Service Components
- UI Components (OJET)
- Tables, Sequences, INC
- Process Framework components (BPEL,BPMN)
- Configuration files used for deployment
- Advices / Reporting Components (Data models (xdmz), Reports (xdoz), Templates(rtf))

### 3. Annexure – A: Environment Details

| Component                                       | Deployment option       | Machine            | Operating System                                | Software  | Version Number     |
|---|-------------------------|--------------------|---|---|--------------------|
| Oracle Banking Trade Finance Process Management | UI-Host and Centralized | Application Server | Oracle Linux Server release 7.3(x86 64 Bit)     | Oracle Fusion Middleware Infrastructure   | 12.2.1.3.0         |
|   |                         |                    |   | Java HotSpot(TM) JDK (with WebLogic Application Server)                           | JDK 1.8 Update 202 |
|   |                         |                    |   | Oracle Repository Creation Utility (RCU) - To create SOA related schemas for BPEL | 12.2.1.3.0         |
|   |                         |                    |   | Oracle SOA Suite, Oracle BPM Suite  | 12.2.1.3.0         |
|   |                         | Database Server    | Oracle Linux Server release 7.3(x86 64 Bit)     | Oracle RDBMS Enterprise Edition   | 18.3.0.0.0         |
|   |                         | Reporting Server   | Oracle Enterprise Linux Server 6.6 (x86 64 Bit) | Oracle WebLogic   | 12.2.1.3.0         |
|   |                         |                    |   | Oracle BI Publisher   | 12.2.1.4.0         |
|   |                         |                    |   | Repository Creation Utility   | 12.2.1.3.0         |

| Component       | Deployment option             | Machine                      | Operating System                                | Software                         | Version Number                             |
|-----------------|-------------------------------|------------------------------|---|----------------------------------|--|
|                 |                               |                              |   | Oracle Database (for RCU)        | 18.3.0.0.0                                 |
|                 |                               |                              |   | JDK                              | JDK 1.8 Update 202                         |
|                 |                               | Document Management System   | Oracle Enterprise Linux Server 6.6 (x86 64 Bit) | Oracle WebLogic                  | 12.2.1.3.0                                 |
|                 |                               |                              |   | Oracle WebCenter Content Imaging | 12.2.1.3.0                                 |
|                 |                               |                              |   | Repository Creation Utility      | 12.2.1.3.0                                 |
|                 |                               |                              |   | Oracle Database (for RCU)        | 18.3.0.0.0                                 |
|                 |                               |                              |   | JDK                              | JDK 1.8 Update 202                         |
|                 |                               | Client Machines <sup>#</sup> | Windows 7                                       | Internet Explorer                | Microsoft Internet Explorer Release (11.*) |
|                 |                               |                              |   | Mozilla Firefox                  | Mozilla Firefox Release (52+)              |
|                 |                               |                              |   | Google Chrome                    | Google Chrome Release (66+)                |
|                 |                               |                              | Windows 8                                       | Internet Explorer                | Microsoft Internet Explorer Release (11.*) |
|                 |                               |                              |   | Mozilla Firefox                  | Mozilla Firefox Release (47+)              |
|                 |                               |                              |   | Google Chrome                    | Google Chrome Release (59+)                |
|                 |                               |                              | Windows 10                                      | Microsoft Edge                   | 40.15063.674.0                             |
| Mozilla Firefox | Mozilla Firefox Release (52+) |                              |   |                                  |  |



| Component | Deployment option | Machine | Operating System | Software        | Version Number                |
|-----------|-------------------|---------|------------------|-----------------|-------------------------------|
|           |                   |         |                  | Google Chrome   | Google Chrome Release (59+)   |
|           |                   |         | Mac OS X         | Mozilla Firefox | Mozilla Firefox for Mac (50+) |

Note: # Browser support is no longer based on Operating Systems but strictly tied to the browser themselves, no matter on which Operating Systems they are installed. Current release is certified on client workstations with Windows 7, 8, 10 and Mac OS X.

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## 4. Annexure – B: Third Party Software Details

For details on the third party software used, see Oracle Banking Trade Finance Process Management 14.3.0.0.0 Licensing Guide available in the OHC Documentation Library.